

# Unsupervised Classification in High Dimension (S14.8)

## Unsupervised classification in high dimension

Dider Fraix-Burnet<sup>1</sup>, Charles Bouveyron<sup>2</sup>, Stéphane Girard<sup>3</sup>, Julian Abel<sup>4</sup>

<sup>1</sup> Univ. Grenoble Alpes, CNRS, IPAG, France. <sup>2</sup> Laboratoire MAPS, Université Paris Descartes, France. <sup>3</sup> Inria Grenoble Rhône-Alpes, France.

### Abstract

Dealing with large databases of galaxy spectra is a good example of a new problematic task in astrophysics. Current and forthcoming big surveys provide millions of spectra each containing thousands of wavelengths. These spectra must be confronted with physical and chemical models. This requires an unsupervised classification which is a dimensionally reduction in both the number of observations and parameters. In this poster, we present some approaches that we are implementing.

### The SDSS galaxy and quasar spectra

The spectra of 702 248 galaxies and quasars with redshift smaller than 0.25 were retrieved from the Sloan Digital Sky Survey (SDSS) database, release 7 (<http://www.sdss.org/d7/>). There are 5740 wavelength points within the useful range of wavelengths between 3806 and 7372 Å after redshift correction.

Spectra of galaxies reveal their composition and history. In the figure to the right are a few typical spectra corresponding to different categories of galaxies, devised mainly by eye.



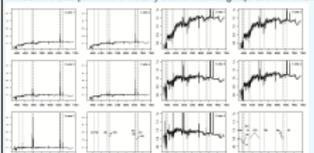
### Canopy Technique

The Canopy technique first divides the data set into overlapping subsets termed as "canopies" based on a "cheap distance measure". In a second stage, clustering is performed by measuring exact distances only between points which belong to a common canopy. Under reasonable assumptions, appropriate selection of cheap distance metric reduces computational cost without any loss in clustering accuracy.

To ease the computation for this canopy work (De et al 2016), we have selected some bands (shown in grey in the figure to the left) that supposedly contain most of the physics of galaxies, reducing the number of wavelength points for each spectra to 1530.

Five clusters are finally found. Their mean spectra are shown to the left.

Even if the dispersion within each group is large and overlaps the other groups, emission and absorption lines are clearly distinct between groups as shown below.



### Fisher-EM Algorithm

The Fisher-EM algorithm (Bouveyron & Brunet 2012) estimates both the discriminative subspaces and the parameters of the mixture model. It is based on the Expectation-Maximization (EM) algorithm from which an additional step, named F-step is introduced, between the E- and the M-step. This F-step uses the maximization of the Fisher's criterion under orthogonality constraints and conditionally to the posterior probabilities.

We here use the full spectra without selecting any wavelengths a priori. The Fisher-EM algorithm can find the optimum number of groups, but for this preliminary result, the number of groups has been set to five.

The mean spectra of the five groups are remarkably distinct and match rather well some typical spectra shown above.

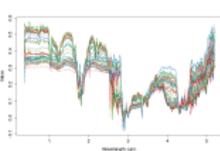
The grey bands in the figure to the left are the wavelengths that the algorithm finds to be the most discriminant. In other words, these bands are sufficient to classify any galaxy spectra into one of these five groups (sparsity option).

The Fisher-EM algorithm has thus reduced the 702 248 spectra with 5740 spectral points to five distinct groups described by a handful of wavelengths (100 in the present case).

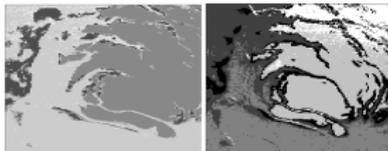
These first results show that the Fisher-EM algorithm yields group spectra which are more distinct than those produced by the Canopy technique. This work will be pursued to find the optimal number of groups. The sparsity step of the Fisher-EM algorithm will then allow for a real time classification in big surveys.

### Mars hyperspectral data

We have applied the **High-Dimensional Data Clustering (HDDC)** algorithm (Bouveyron et al 2007) to segment hyperspectral images of the Martian surface (each image is 300 x 128 pixels, each pixel having 255-dimensional spectral points), with a specific model and for the expected number of groups. Note that it is also possible to let the algorithm determine which model and number of groups are the most adapted for the data at hand. Regarding model parameters, HDDC estimates that the intrinsic dimensions of groups are all around 10 whereas, for recall, the original dimension is 255. The figure below shows the associated segmentation of the image and allows to compare it with an expert segmentation. Both segmentations look very similar, confirming the interest of such model-based clustering techniques in this context.



Some of the 38 400 measured spectra for the image to the right.



Segmentation of the hyperspectral image of the Martian surface using a physical model by experts (left) and HDDC (right). We thank Sylvain Douté for the data and his expertise.

### References

Bouveyron C. & Brunet C. 2012. Simultaneous model-based clustering and regularization in the Fisher discriminative subspace. *Statistics and Computing*, 22(3), 383-398.

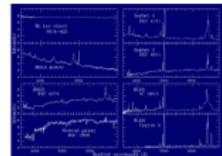
Bouveyron C., Girard S. & Schévalier C. 2010. High-Dimensional Data Clustering. *Computational Statistics & Data Analysis* 52(1), 103-139.

De T., Fraix-Burnet D. & Bouveyron C. 2016. Clustering large spectral datasets: a new approach. *Communication in Statistics - Theory and Methods* 45(10), 2619-2633.

# 702 248 Spectra of Galaxies and Quasars

The spectra of 702 248 galaxies and quasars with redshift smaller than 0.25 were retrieved from the Sloan Digital Sky Survey (SDSS) database, release 7 (<http://www.sdss.org/dr7/>). There are 5740 wavelength points within the useful range of wavelengths between 3806 and 7371 Å after redshift correction.

Spectra of galaxies reveal their composition and history. In the figure to the right are a few typical spectra corresponding to different categories of galaxies, devised mainly by eye.

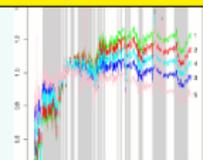


W. Kienl

## Canopy Technique

### Canopy Technique:

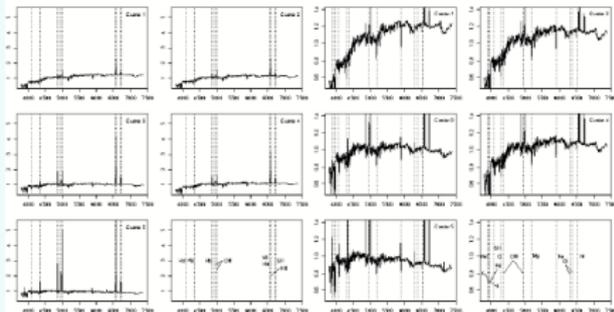
- 1 cheap distance clustering
- 2 k-means



et al 2016), we have selected some bands (shown in grey in the figure to the left) that supposedly contain most of the physics of galaxies, reducing the number of wavelength points for each spectra to 1539.

Five clusters are finally found. Their mean spectra are shown to the left.

Even if the dispersion within each group is large and overlaps the other groups, emission and absorption lines are clearly distinct between groups as shown below.

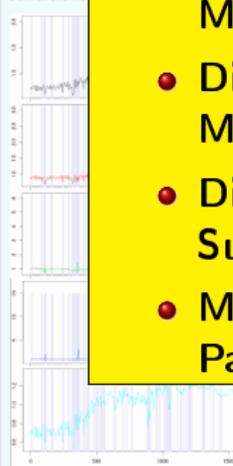


## Fisher-EM Algorithm

The Fisher-EM algorithm (Bauerger & Brunst 2012) estimates both the discriminative latent space and the expected spectra. The algorithm is named F-s because it maximizes the Fisher discriminant conditional on the latent space.

### Fisher-EM Algorithm

- Subspace Clustering Method
- Discriminative Latent Mixture Model
- Discriminative Subspace Estimation
- Mixture Model Parameter Estimation



702 248 spectra with 5740 spectral points to five distinct groups described by a handful of wavelengths (100 in the present case).

These first results show that the Fisher-EM algorithm yields group spectra which are more distinct than those produced by the Canopy technique. This work will be pursued to find the optimal number of groups. The sparsity step of the Fisher-EM algorithm will then allow for a real time classification in big surveys.

# Hyperspectral Data of Mars Surface

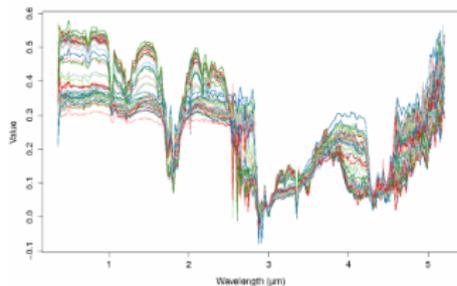
Mars hyperspectral data

## High-Dimensional Data Clustering (HDDC) algorithm

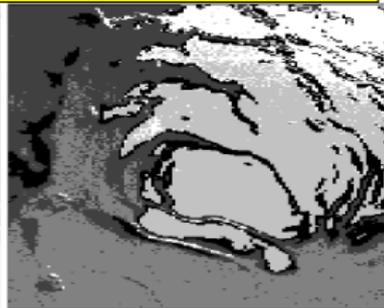
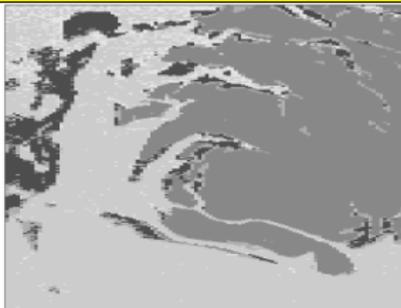
- Subspace Clustering Method
- HD-GMM

We have applied the algorithm to the image (each pixel is  $300 \times 1000$ ) to let the algorithm find the intrinsic dimensionality of the data. This allows to compare

the results with the ground truth (each pixel is  $300 \times 1000$ ) to see if it is possible to find the intrinsic dimensionality of the image and its context.



Some of the 38 400 measured spectra for the image to the right.



Segmentation of the hyperspectral image of the Martian surface using a physical model build by experts (left) and HDDC (right). We thank Sylvain Douté for the data and his expertise.

**Didier Fraix-Burnet,**

Charles Bouveyron, Stéphane Girard, Julyan Arbel,

Asis Kumar Chattopadhyay